

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Alan Kriz *et al.*
Art Unit: Unknown
Serial No.: 10/732,721
Examiner: Unknown
Filed: December 10, 2003
For: Maize Embryo-Specific Promoter

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached form PTO/SB/08B be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. 1.98(a)(2) are enclosed for the convenience of the Examiner.

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement.

Respectfully submitted,

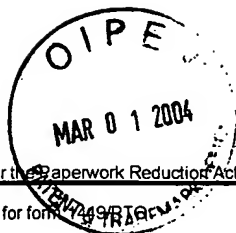
Pamela C. Ball
Registration Number 53,963
Agent for Applicants
860 572 5265

Certificate of Mailing 37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on the date below:

Pamela C. Ball

February 26, 2004



Substitute for form

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number 10/732,721

Filing Date 12/10/03

First Named Inventor Kriz, Alan L.

Art Unit Unknown

Examiner Name Unknown

Attorney Docket Number 38-15(52826)B

Sheet 1 of 1

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	WILLIAMS and TSANG, Nucleotide sequence of an abscisic acid-responsive, embryo-specific maize gene, Plant Physiology, 1992, 100:1067-1068.	
	2	WILLIAMS and TSANG, Analysis of multiple classes of abscisic acid-responsive genes during embryogenesis in Zea mays, Developmental Genetics, 1994, 15:415-424.	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO:** Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.